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<b>UTILITY PATENT APPLICATION TRANSMITTAL</b>  (Only for new nonprovisional applications under 37 CFR 1.53(b))	Attorney Docket No. - <b>0765-2218</b>
	First Inventor or Application Identifier <b>Hongyong ZHANG et al</b>
	Title: <b>METHOD FOR FORMING SEMICONDUCTOR DEVICE</b>
	Express Mail Label No.

<b>APPLICATION ELEMENTS</b> See MPEP chapter 600 concerning utility patent application contents.	<b>ADDRESS TO:</b> Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
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<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original, and a duplicate for fee processing) Total Pages [ 28 ]</p> <p>2. <input checked="" type="checkbox"/> Specification (preferred arrangement set forth below) - Descriptive title of the Invention - Cross References to Related Applications - Statement Regarding Fed sponsored R &amp; D - Reference to Microfiche Appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) (Figs. 1-5) Total Sheets [ 5 ] Total Pages [ 2 ]</p> <p>4. <input checked="" type="checkbox"/> Oath or Declaration a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below] i. <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.</p>	<p>6. <input type="checkbox"/> Microfiche Computer Program (Appendix)</p> <p>7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p><b>ACCOMPANYING APPLICATION PARTS</b></p> <p>8. <input type="checkbox"/> Assignment Papers (cover sheet &amp; document(s)) 9. <input type="checkbox"/> 37 CFR 3.73(b) Statement [ ] Power of Attorney (when there is an assignee) 10. <input type="checkbox"/> English Translation Document (if applicable) [ ] Copies of IDS 11. <input checked="" type="checkbox"/> Information Disclosure Statement [ ] Citations (IDS)/PTO-1449 12. <input checked="" type="checkbox"/> Preliminary Amendment 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 14. <input type="checkbox"/> *Small Entity [ ] Statement filed in prior application, Statement(s) Status still proper and desired (PTO/SB/09-12) 15. <input type="checkbox"/> Certified Copy of: 16. <input checked="" type="checkbox"/> Other: Notice of Change of Address and Notice of Change of Name</p> <p>*A new statement is required to be entitled to pay small entity fees, except where one has been filed in a prior application and is being relied upon.</p>
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17. If a **DIVISIONAL APPLICATION**, check appropriate box, and supply the requisite information below and in a preliminary amendment:  
☒ Divisional of prior application Serial No. 08/685,789, filed July 24, 1996; which itself is a Continuation of Serial No. 08/420,472 filed April 12, 1995 now abandoned.

Prior application information: Examiner: **E. Pert** Group/Art Unit: **2813**

**18. CORRESPONDENCE ADDRESS**

[X] Customer Number or Bar Code Label Customer No. 22204 or [X] Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name: **Jeffrey L. Costellia**  
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Name: **Jeffrey L. Costellia** Registration No. **35,483**  
Signature: *Jeffrey L. Costellia* Date: **October 25, 2000**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

# FEE TRANSMITTAL

Patent fees are subject to annual revision on October 1. These are the fees effective October 1, 1997. Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

## Complete If Known

Application Number Not Yet Assigned  
Filing Date October 25, 2000  
First Named Inventor Hongyong ZHANG et al  
Examiner Name E. Pert  
Group Art Unit 2813  
Attorney Docket Number 0756-2218

TOTAL AMOUNT OF PAYMENT \$950.00

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:  
Deposit Account No. 19-2380  
Deposit Account Name: SIXBEY, FRIEDMAN, LEEDOM & FERGUSON, PC

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing of the Notice of Allowance

2. ☒ Payment Enclosed:  
☒ Check ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
101 710	201 355	Utility filing fee	[710.00]
106 320	206 160	Design filing fee	[ ]
107 490	207 245	Plant filing fee	[ ]
108 710	208 355	Reissue filing fee	[ ]
114 150	214 75	Provisional filing fee	[ ]
SUBTOTAL (1)			[710.00]

#### 2. EXTRA CLAIM FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
103 18	203 9	Claims in excess of 20	
102 78	202 39	Independent claims in excess of 3	
104 260	204 130	Multiple dependent claim	
106 78	206 39	**Reissue independent claims over original patent	
110 18	210 9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)			[\$240.00]

### 3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
105 130	205 65	Surcharge-late filing fee or oath	
127 50	227 25	Surcharge-late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Ext for reply within first month	
116 390	216 195	Ext for reply within second mth	
117 890	217 495	Ext for reply within third mth	
118 1,390	218 695	Ext for reply within fourth mth	
128 1,890	228 945	Ext for reply within fifth month	
119 310	219 155	Notice of Appeal	
120 300	220 150	Filing brief in support of appeal	
121 270	221 135	Request for Oral Hearing	
138 1,510	138 1,510	Petition to institute public use proceeding	
140 110	240 55	Petition to revive-unavoidable	
141 1,240	241 620	Petition to revive-unintentional	
142 1,240	242 620	Utility issue fee (or reissue)	
143 440	243 220	Design issue fee	
144 600	244 300	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Petitions related to provisional applications	
126 240	126 240	Submission of IDS	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 710	246 355	Filing a submission after final rejection (37 CFR 1.129(a))	
149 710	249 355	For each additional invention to be examined (37 CFR 1.129(b))	
Other _____			
Other _____			
*Reduced by Basic Filing Fee Paid			
SUBTOTAL (3)			\$ _____

### SUBMITTED BY

Typed or Printed Name

Jeffrey L. Costellia

Signature

Date

October 25, 2000

Reg. Number

35,483

Deposit Account User ID

19-2380

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.